



RISK NUMBER	SUMMARY	GwE STRATEGIC OBJECTIVE	RISK IDENTIFIED	LIKELIHOOD OF RISK	IMPACT OF RISK	LIKELIHOOD D X IMPACT	CURRENT ACTIVITIES / MITIGATION	RESIDUAL RISK / OVERALL RISK	LIKELIHOOD X IMPACT	RISK OWNER	FUTURE ACTIONS
1	Financial	ALL	Cuts in funding to the GwE Core Budget affects strategic long term planning.	M	H	2A	Medium Term Financial Plan & VFM Policy & Framework in place. Plans were developed in conjunction with the Local Authority's Section 151 Officer. The Medium Term Financial Plan includes details regarding the financial pressure on GwE and models scenarios. Assumptions are made regarding the future financial position. A number of staff are appointed on secondment to ensure flexibility within the service to react quickly to any changes.	M	2B	MD / BM	Work with Section 151 Officer to review Medium Term Financial Plan & Value for Money Policy & Framework to be reviewed periodically. Consult with chief officers of constituent authorities regarding the impact of any potential funding cuts. Undertake a budget & workforce review.
2	Financial	ALL	Uncertainty regarding grant funding arrangements from WG hampers strategic long term planning. Significant delays in confirmation of funding levels affects the implementation of the Strategic Business Plan.	M/H	H	2A	Assumptions made regarding future funding situation. A number of appointments made on secondment basis to ensure flexibility within the service to respond quickly to any changes, but this places pressure on the budget. Medium Term Financial Plan in place. The plan was developed in conjunction with the Section 151 Officer. The medium term financial plan includes the financial pressures on GwE and models various situations. Financial modelling work being implemented to steer decisions.	M/H	2C	MD / BM / Management Board	Work with Section 151 Officer to review Medium Term Financial Plan & Value for Money Policy & Framework to be reviewed periodically. Liaise with WG officials, constituent authorities' education Cabinet members and heads of education, to seek greater transparency and certainty regarding specific grant funding.
5	Standards	ALL	That the significant scope of Education reform under way to include accountability, professional learning, digital, Welsh language, curriculum development, assessment, leadership and ALN, is not fully embraced & implemented successfully. Schools awareness of the four purposes within Curriculum for Wales and development of the Areas of Learning and Experience as part of curriculum reform is limited & creates uncertainty in schools. Changes in Curriculum and qualifications causing uncertainty in schools.	H	H	2A	Curriculum for Wales Cluster Facilitators continue to work on focused work across their partner schools within the 3-16 continuum with many reflecting and sharing progress within local cluster meetings with SIAs. The Curriculum for Wales is now published and all SIAs have had the opportunity to engage with this during a team day as part of developing consistent messaging. The cross regional professional learning plan has developed well for senior leaders, middle leaders and teachers and all regions are now in the process of raising awareness of this support during early 2020 through messaging in existing networks and bespoke sessions for Curriculum for Wales facilitators. GwE has worked with middle tier partners and Welsh Government to contribute to the system national expectations document which articulates expectations for schools between now and 2022, showing clearly that Summer 2020 is a time for engagement with leading change and developing shared vision. Professional Learning will focus on these sessions within smaller cluster sessions across the region.	M	2B	SL - SF	Continue to support all schools to prepare for the new curriculum as per system expectations:  Jan 2020 – July 2020 Engagement phase - Raise awareness of all school practitioners of Curriculum for Wales guidance and cross-regional Professional Learning offer to support - Continue monthly full team SIA meetings to support sense making of Curriculum for Wales and develop consistent messaging across all networks - Continue to collaborate with Bangor University and Lead Professional Enquiry schools to develop role of professional enquiry within teaching and learning. - Further develop effective communication channels for sharing curriculum developments through website, Twitter and G6
6	Standards	SO2	Difficulties in the recruitment of Headteachers across the region / Recruitment of Quality of leadership at all levels	M	M	2C	Delivery of Leadership Development Programmes that develop practitioner's leadership skills in particular contexts throughout each milestone of the professional learning pathway. GwE Middle Leadership Development Programme continues to be effective. New national middle leaders development programme commenced September 2019. Aspiring Headteacher Development Programme operational. A national NPQH Development Programme is operational.	M/L	2D	MD / AD	Continue to offer a wide range of Professional Learning opportunities in relation to leadership developmental programmes in order to develop present and future leaders.
7	Standards	ALL	Cuts in school budgets are affecting schools ability to continue to raise standards.	H	H	2A	The delegation levels of regional grants is currently at its highest.	M/H	2B/2C	LA's / Schools	Continue to ensure that the level of delegation continue to rise. Work closely with WG / LA's & Schools to ensure funding is appropriately targeted & further delegated.
8	Business	ALL	Use of the Education Improvement Grant not fully embedded into regional approach - reduce speed & impact of the delivery of the Strategic Business Plan	H	H	2A	GwE Management Board agreed to commence review of use of the EIG. Further paper presented to the Management Board in February 2020 with review to commence during this term.	M	2B	Management Board	Actions identified from the review to be implemented.
11	Standards	SO3	That the Pupil Development Grant is not used effectively / Performance of FSM pupils.	M	M	3A	SIA for Wellbeing has overall responsibility for developing and monitoring the level 3 business plan in regard to vulnerable groups of learners. Working in partnership with the LA's to further develop the LAC Strategy & Vulnerable Learners strategy & to ensure consistency across the region. Regional Strategic Groups meets on a termly basis with clear terms of reference.	M/L	3B	SIA-Wellbeing	Implement the actions within the business plan & monitor progress via the performance management process. Attend all WG FSM/PDG meetings to ensure clear line of communication and regional accountability. Develop grants planning tool to improve effectiveness.
14	Standards	SO1	Variation in the performance of individual local authorities at KS4 & the risk of going into statutory category	H	M/H	2A	Strengthened planning in place to ensure comprehensive support around schools causing concern with pathfinder pilot in place within one LA. Two tiered peer to peer engagement pathfinder models being trialled across the region this year with focus on jointly evaluating and delivering improvements. Initial feedback from stakeholders extremely positive. Enhanced network activities and professional offer with focus on improving teaching and learning.	M	2B/2C	Management Board	Evaluate progress following 2020 data release. Current projections suggest improvements in many regional schools for the C9 in 2020.
17	Standards	SO1	Secondary Schools placed in Estyn Statutory category.	H	H	2A	7 schools are currently in a statutory category. There are intensive intervention and support programmes in place in these schools focussing on improving teaching and learning, developing tracking and assessment systems; improving leadership at all levels and further developing accountability arrangements and processes for robust self-evaluation and improvement planning. A pathfinder pilot programme is being trialled in one LA with the 3 schools placed in statutory category. 2 other statutory category schools within the region have been identified to take part in the national SCC programme where GwE will work closely with the LA, Estyn and Welsh Government to drive the required improvements.	H	2B	MD/AD/Senior Leads	Ensure that the required support is robustly identified within the respective support plans and that high quality intervention is delivered in a timely manner  Ensure that LA's make effective and timely use of intervention powers where lack of engagement or slow progress has been identified and shared with Local Quality Boards/AIBs.